



‘Empowering SMFEs through training and supervision for their effective compliance and active participation in VPA/ FLEGT implementation in Ghana’ (PO322914)

Record of Legality Non-Conformance by ten SMFEs

Company Label	CAR #	Criterion	Non-Conformance
A	1	7.1.1	Company did not ensure that its stumpage indebtedness older than the 30 days mandatory requirement had been settled.
	2	3.2.2	Company did not adhere to logging requirements as specified in the FC Logging Manual - Log yard not constructed according to specification (size).
	3	3.4.1	Company did not make diligent efforts to ensure data reconciliation for species harvested from approved yield to be in their correct diameter class.
	4	5.2.2	Company not registered with the Department of Factory Inspectorate to conduct mandatory inspections; inadequate PPEs for workers.
B	1	5.2.2	Company not registered with the Department of factory inspectorate to conduct mandatory inspections.
	2	6.2.1	Company was not registered with TIDD as a domestic vendor.
C	1	3.2.1	Company harvested outside the approved allocate yield
	2	7.1.1	Company was indebted on stumpage payments beyond the 30 days mandatory payment period.
	3	6.2.1	Company trades some of its products on the domestic market without registering with TIDD to do so.
	4	5.2.2	Inadequate provision of fire extinguishers on factory premises
D	1	3.2.2 & 3.5.1	Tree stumps not marked as prescribed in the logging manual.
	2	5.2.2	The company had not registered with the Department of Factory Inspectorate to carry out mandatory factory inspection; fire extinguisher installed at the administrative office had no expiry date.
	3	5.2.4	Company not registered with TIDD as a domestic vendor
E	1	3.1.2	Company had no harvesting and logging plans

	2	3.2.3	A larger than prescribed area cleared as a log dumping site
	3	3.2.4	Forest Workers not adequately dressed for the job
	4	5.2.2	Company had not registered with the Department of factory inspectorate to carry out inspection; no fire extinguishers installed at the factory
F	1	2.1.3	Company working with an extant lease
	2	3.1 (3.1.1 & 3.1.2)	Company without harvesting and logging plans.
	3	3.2.4	Forest workers were not in the appropriate PPEs. Log dumping site was bigger than recommended size
	4	5.2.2	Company not registered with the Department of Factory Inspectorate for the factory to be inspected ; fire extinguishers not installed at vantage points in the factory
G	1	2.1.3	TUC not ratified by Parliament
	2	7.1.1	Company has stumpage indebtedness
H	1	5.2.1	PPEs not provided for workers and no changing rooms for all its workers. Fire extinguishers not provided in the factory and not enough toilets for workers.
9	1	5.2.2	Company not registered with the Department of Factory Inspectorate for the factory to be inspected
	2	6.2 (6.2.1. & 6.2.1)	Company not registered with the Department of Factory Inspectorate for the factory to be inspected. Workers not provided with adequate PPEs. Workmen's compensation insurance not provided. Work environment not clean. Fire extinguishers not provided for the factory.
	3	3.2.3	Log dumping site not constructed according to specification (size). Forest workers not adequately dressed in PPEs
	4	3.2.2	Company had no compartment logging plan
	5	2.1.3	Company sourcing from a third party with an extant lease.
10	1	3.1	Company without compartment harvesting and logging plans
	2	3.2.4	Forest workers not provided with PPEs
	3	5.2.2	Company not registered with the Department of Factory Inspectorate for the factory to be inspected. Fire extinguishers not installed at vantage points. Work instructions not posted at work areas. No first aid box provided.